

FATENT 3-01 5181-53800/P45991-3-01

## <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

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In	re	App	lication	ot:	
Krauklis					

Serial No. 09/464,021

Filed: December 15, 1999

For: SYSTEM AND METHOD FOR MANAGING A SCALABLE LIST OF ITEMS FOR DISPLAY

Group Art Unit: 2721 Examiner: Unknown

Atty. Dkt. No. 5181-53800

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 2023 I, on the date indicated below:

9-22-0×

B. Noël Kivlin

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 2023 I

Sir:

Applicant requests consideration of  $\boxtimes$  the references listed on the attached Form PTO-1449 and/or  $\square$  the additional information identified below in paragraph 3. A copy of each reference listed on the Form PTO-1449 is enclosed.

- 1. This Information Disclosure Statement is submitted:
  - a. within 3 months of the filing date of the above identified application, or within 3 months of entry into National stage prosecution of an International application, or before the mailing date of the first Office Action on the merits, and thus no certification and/or fee is required.

	b.		after the events of above paragraph Ia and prior to the mailing date of a final Office Action or Notice of Allowance, and thus:			
			the certification of paragraph 2 below is provided, or a fee of \$240.00 is enclosed.			
	c.		after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus:			
			the certification of paragraph 2 below is provided, <u>and</u> a Petition and fee of \$130.00 are enclosed.			
2.	lt is he	t is hereby certified:				
		was c foreign	ach item of information contained in this Information Disclosure Statement ited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the nent, or			
		was c foreign after n	o item of information contained in the Information Disclosure Statement ited in a communication from a foreign patent office in a counterpart application or, to the knowledge of the person signing the certification making reasonable inquiry, was known to any individual designated in § 1.56 ore than three months prior to the filing of the Statement.			
3.			deration of the following additional information (including any co-pending and oned U.S. applications, prior uses and/or sales, etc.) is requested:			
4.	For ea	or each non-English language reference listed on the attached Form PTO-1449:				
		refere	nce is made to an English language translation submitted herewith, and/or			
			nce is made to a foreign patent office search report (in the English ge) submitted herewith, and/or			
			nce is made to an English language translation of a foreign patent office report submitted herewith, and/or			
			nce is made to the concise explanation contained in the specification of the nt application at page(s), and/or			
		refere	nce is made to the concise explanation set forth below:			
5.		Applic	Applicant also offers the following comments for the Examiner's consideration:			
6.		Also enclosed is a copy of a foreign search report citing these references.				

- 7. The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
- 8. Applicant(s) requests that the information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 03-2769/5181-53800/BNK.

Respectfully submitted,

B. Noël Kivlin Reg. No. 33,929

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Date: 9 - 22 - 00